

Attorney's Docket No.: 17315-002002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Frederick G. St. Goar
Serial No. : 10/820,581
Filed : April 7, 2004
Title : METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR

Art Unit : 3734
Examiner : Lindsey Michele Bachman
Conf. No. : 8576

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

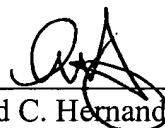
Transmitted herewith are a Supplemental Information Disclosure Statement (2 pages), Form PTO-1449 (5 pages), a check for the requisite fee of \$180.00, and a return postcard for filing in connection with the above-captioned patent application.



The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050.

Respectfully submitted,

Date: December 22, 2006


Fred C. Hernandez
Reg. No. 41,832

Attorney Docket No. 17315-002002

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 22, 2006

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Signature


Jon Levy



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN
ACCORDANCE WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

Because this Supplemental Information Disclosure Statement is after receipt of a first Office Action on the Merits of the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (5 pages) is provided herewith in connection with the above-captioned application. In accordance with 37 C.F.R. §1.98(d), copies of the references listed on the Form PTO-1449 are not provided herewith as they have been previously provided in connection with U.S. Serial No.10/635,776, which is relied upon for an earlier filing date in accordance with 35 U.S.C. §120.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

12/28/2006 CNEGA1 00000044 10020581

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Typed or Printed Name of Person Signing Certificate

Applicant : Frederick G. St. Goar
Serial No. : 10/820,581
Filed : April 7, 2004
Page : 2 of 2

Attorney's Docket No.: 17315-002002
Suppl. Information Disclosure Statement

Applicant also makes known to the Examiner the following pending U.S. and International Applications that have one or more common inventors and/or are commonly owned:

| <u>U.S.S.N.</u> | <u>Filing Date</u> | <u>Publication No.</u> | <u>Docket No.</u> |
|-----------------|--------------------|------------------------|-------------------|
| 10/635,776 | 08/05/03 | 2004/0030382 | 17315-002001 |
| 10/927,263 | 08/25/04 | n/a | 20489-000160US |
| 10/927,589 | 08/25/04 | n/a | 20489-000150US |
| 11/349,742 | 02/07/06 | 2006/0229708 | 17315-004001 |
| 11/411,441 | 04/25/06 | n/a | 17315-005001 |

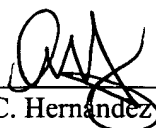
| <u>Intl. Ser. No.</u> | <u>Filing Date</u> | <u>Publication No.</u> | <u>Docket No.</u> |
|-----------------------|--------------------|------------------------|-------------------|
| PCT/US06/004368 | 02/07/06 | WO 06/086434 | 17315-004WO1 |
| PCT/US06/015941 | 04/25/06 | WO 06/116558 | 17315-005WO1 |

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references or information, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97 (g and h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56 (b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

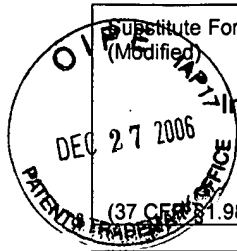
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Substitute Form PTO-1449

(Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.

17315-002002

Application No.

10/820,581

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR 1.98(b))

Applicant

Frederick G. St. Goar

Filing Date

April 7, 2004

Group Art Unit

3734

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | | |
|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 17315-002002 | Application No. 10/820,581 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Frederick G. St. Goar | |
| | | Filing Date April 7, 2004 | Group Art Unit 3734 |

| U.S. Patent Documents | | | | | | | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | | |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| * | EE | 1674040 | 6/28/06 | EP | | | | |
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| * | EG | 98/35638 | 8/20/98 | PCT | | | | |
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| * | EJ | 04/012789 | 2/12/04 | PCT | | | | |

| Other Documents (include Author, Title, Date, and Place of Publication) | | |
|---|-----------|----------|
| Examiner Initial | Desig. ID | Document |
| | EK | none |

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